

## ME498 ABAQUS: A short course for engineers

### Finite Element Stress Analysis: Theory; failure criteria; Example Application

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## What is a process model?

Input  
Data



Equations and Constants



Output  
Data

- Makes quantitative predictions about process

See supplement: BG Thomas & JK Brimacombe,  
“Process Modeling”, 1997, 16 pages.

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**Example Model:**  
**How long is a planet's orbit (years)**  
 Kepler's 3<sup>rd</sup> Law of Planetary Motion, 1619

Distance from Sun (in Earth Orbital Radii) →  $P = R^{3/2}$  → Orbit Period (in Earth years)

**Mars:**

$$P = (1.52)^{3/2}$$

$$= 1.88 \text{ years}$$

(matches observation)

**Pluto:**

$$P = (39.44)^{3/2}$$

$$= 248 \text{ years}$$

??  
(discovered in 1930)

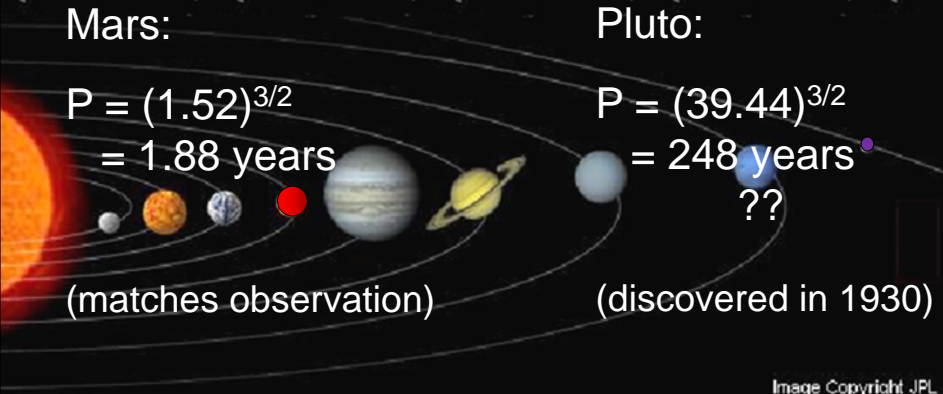
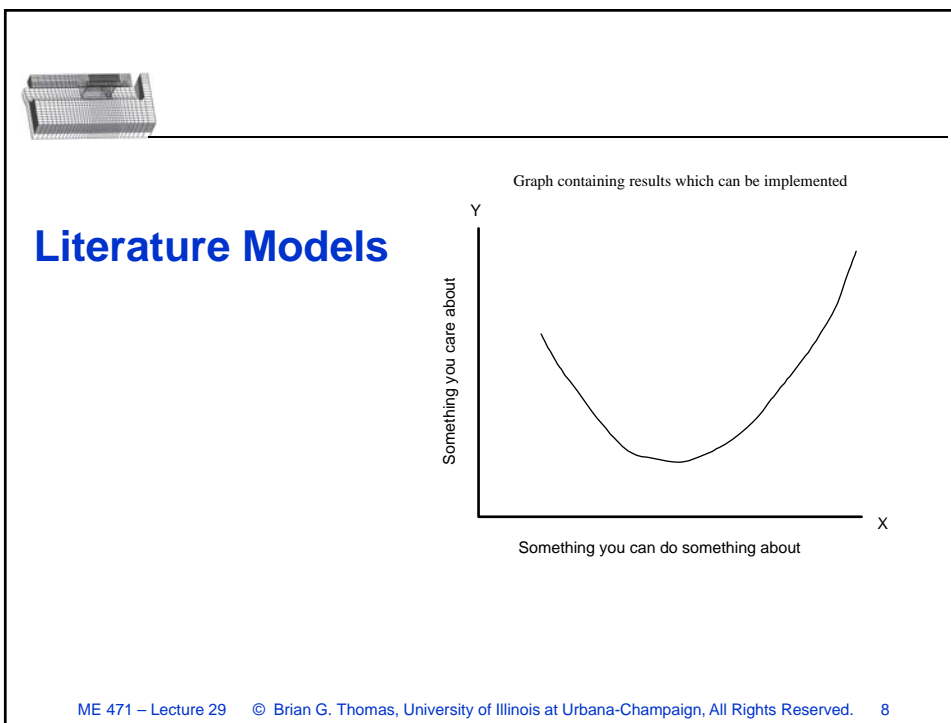
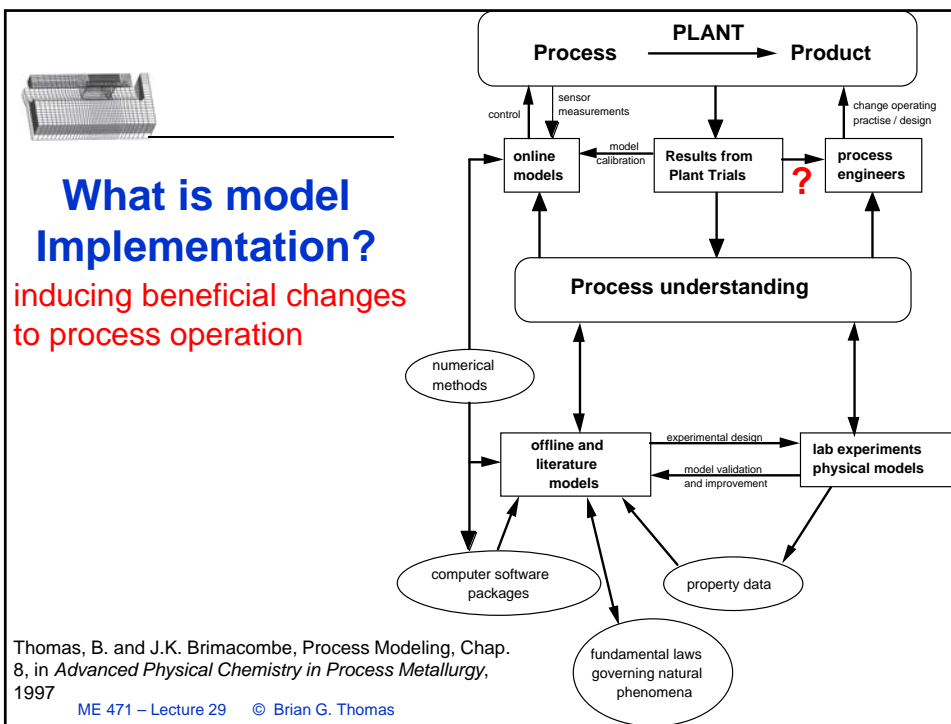


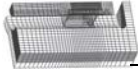
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**Steps in Modeling - summary**

1. Define the real-world problem and model (including constants)
2. Calibrate model to match known data (use dimensionless numbers) - Earth
3. Validate the model - Mars
  - compare with known solution
4. Use the model
  - learn something new! - Pluto
5. Extend the model further (needs to be more fundamental) - other solar system

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## Why Model?

- increase fundamental understanding
- technology transfer
- design of experiments
- evaluation of alternative designs
- process optimization
- extension and evaluation of plant results
- extending lab measurements to quantify properties
- assist in scale-up
- online process control

If a clear reason to develop a model cannot be found,  
then it should not be developed!

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## Find FEM Equations

### 1) Review Governing Eqns.-3D Elasticity

- Unknown field variables to solve for:
  - 3 displacements:  $u, v, w$
  - 6 strains:  $\epsilon_x, \epsilon_y, \epsilon_z, \gamma_{xy}, \gamma_{yz}, \gamma_{zx}$
  - 6 stresses:  $\sigma_x, \sigma_y, \sigma_z, \tau_{xy}, \tau_{yz}, \tau_{zx}$
- Equations to solve:
  - 3 equilibrium equations:  $[A]^T \{ \sigma \} = \{ F \}$
  - 6 constitutive equations:  $\{ \sigma \} = [D] \{ \epsilon \}$
  - 6 strain-displacement eqs.:  $\{ \epsilon \} = [A] \{ u \}$

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## Find FEM Eqs.: Galerkin's Method

- Substitute:

$$\{\sigma\}_{6 \times 1} = [D]_{6 \times 6} \{\epsilon\}_{6 \times 3} = [D]_{6 \times 6} [A]_{6 \times 3} \begin{Bmatrix} u \\ v \\ w \end{Bmatrix} \quad \text{and} \quad \begin{Bmatrix} u \\ v \\ w \end{Bmatrix} = [N]_{3 \times n} \{d\}_{n \times 1}$$

shape functions

$$\int_V ([A][N])^T [D] ([A][N]) dV \{d\} = \int_S ([N]^T \{\sigma\}) dS + \int_V [N]^T \{F\} dV$$

$$\underbrace{\int_V \begin{pmatrix} [B] \\ [D] \\ [B] \end{pmatrix} dV}_{[K]} \{d\} = \underbrace{\int_V [N]^T \{F\} dV}_{\text{body forces}} + \underbrace{\int_S ([N]^T \{\sigma\}) dS}_{\substack{\text{boundary conditions} \\ \text{surface tractions} \\ \text{pressures} \\ \text{point loads}}}$$

$[K]$  units  $\left(\frac{1}{m}\right) \left(\frac{N}{m^2}\right) \left(\frac{1}{m}\right) m^3 = \frac{N}{m}$        $\left(\frac{N}{m^3}\right) m^3 = N$

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## Global Equation System

$$\begin{matrix} [K] \\ \downarrow \\ \frac{N}{m} \end{matrix} \{d\} = \{F\}_{bf} + \{F\}_{\phi} + \{F\}_{\epsilon_0} + \begin{matrix} \{P\} \\ \downarrow \\ N \end{matrix}$$

- Force Vectors

$$\{F\}_{bf} = \int_V [N]^T \{F\} dV \quad \text{body forces} \quad \left(\frac{N}{m^3}\right)(m^3) = N$$

$$\{F\}_{\phi} = \int_S [N]^T \{\Phi\} dS \quad \text{surface tractions} \quad \left(\frac{N}{m^2}\right)(m^2) = N$$

$$\{F\}_{\epsilon_0} = \int_V [B]^T [D] \{\epsilon_0\} dV \quad \text{Initial strain forces} \quad \sigma = [D] \underbrace{\{\epsilon\} - \{\epsilon_0\}}_{\{\epsilon_{\text{elastic}}\}}$$

$$\{P\} = \text{point loads } (N)$$

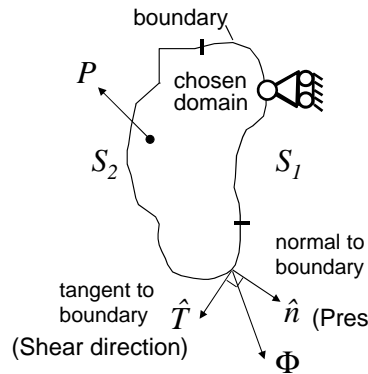
$\{\epsilon_0\}$  = "initial strain":  
plastic, creep, thermal, etc.

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## Stress Boundary Conditions

- Specify 1 of the following on each portion of the domain boundary for each DOF (2 DOF for 2-D problems and 3 for 3-D):



On  $S_1$  Specify Displacement(s)

$$u_N = \bar{u}_N(x, y, z)$$

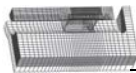
$$u_T = \bar{u}_T(x, y, z)$$

On  $S_2$  Specify Surface Traction(s)

$$-E \frac{\partial u_N}{\partial n} = \Phi_{\text{Pressure traction}}$$

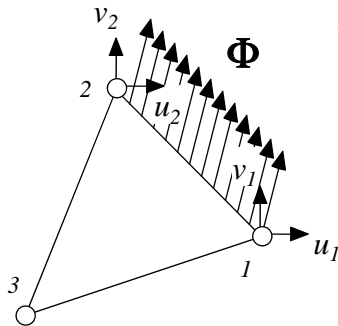
$$-E \frac{\partial u_T}{\partial n} = \Phi_{\text{Shear traction}}$$

On chosen nodes: Point Load(s)

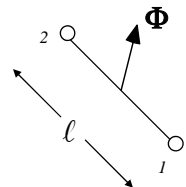
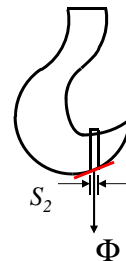


## $\{F_\Phi\}$ Surface Traction Force Vector on Side of a CST

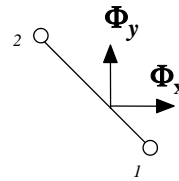
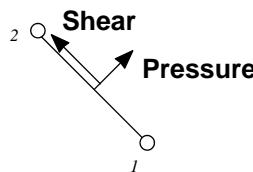
- Apply traction  $\Phi$  ( $N/m^2$ ) on side 1-2



example



OR:





## Stress Analysis Output

- 6 strain components (isotropic) From  $u, v, w$ :
  - $\epsilon_x, \epsilon_y, \epsilon_z$  (normal)  $\gamma_{xy}, \gamma_{yz}, \gamma_{zx}$  (shear)
$$\{\epsilon\} = [B] \begin{Bmatrix} u \\ v \\ w \end{Bmatrix}$$
- 6 stress components (isotropic)
  - $\sigma_x, \sigma_y, \sigma_z$  (normal)  $\tau_{xy}, \tau_{yz}, \tau_{zx}$  (shear)
$$\{\sigma\} = [D]\{\epsilon\}$$
- Principle stresses,  $\sigma_1, \sigma_2, \sigma_3$
- Von Mises Effective stress,
- Stress intensity, SI

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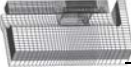
## Principle Stresses, $\sigma_1, \sigma_2, \sigma_3$ (s1, s2, s3 in ANSYS)

- Max principle tensile stress,  $\sigma_1 = \max(\sigma_1, \sigma_2, \sigma_3)$
- Max princ. compressive stress  $\sigma_3 = \min(\sigma_1, \sigma_2, \sigma_3)$
- Find  $(\sigma_1, \sigma_2, \sigma_3)$  from 3 roots  $\sigma$  of:

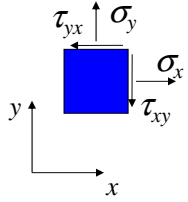
$$\det \begin{vmatrix} \sigma_x - \sigma & \tau_{xy} & \tau_{zx} \\ \tau_{xy} & \sigma_y - \sigma & \tau_{yz} \\ \tau_{zx} & \tau_{yz} & \sigma_z - \sigma \end{vmatrix} = 0$$

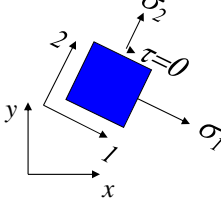
- Coordinate transformation to eliminate shear

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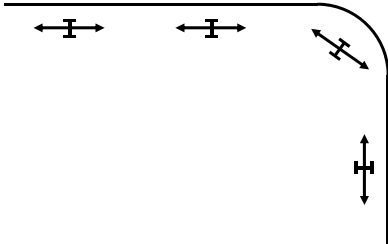


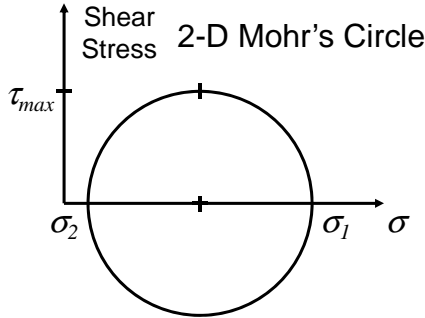
## Principal Stress Meaning






- Rotate coords until shear = 0





2-D Mohr's Circle

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## Stress Invariants, $I_1, I_2, I_3$

$$I_1 = \sigma_x + \sigma_y + \sigma_z$$

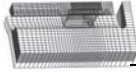
$$I_2 = \frac{1}{2} (\sigma_x^2 + \sigma_y^2 + \sigma_z^2 + 2\tau_{xy}^2 + 2\tau_{yz}^2 + 2\tau_{zx}^2 - I_1^2)$$

$$I_3 = \det \begin{vmatrix} \sigma_x & \tau_{xy} & \tau_{zx} \\ \tau_{xy} & \sigma_y & \tau_{yz} \\ \tau_{zx} & \tau_{yz} & \sigma_z \end{vmatrix}$$

- Use to find principal stresses from 3 roots of:
 
$$\sigma^3 - I_1\sigma^2 - I_2\sigma - I_3 = 0$$

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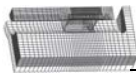




## Stress Intensity, SI (SINT in ANSYS)

$$SI = \max(|\sigma_1 - \sigma_2|, |\sigma_2 - \sigma_3|, |\sigma_3 - \sigma_1|)$$

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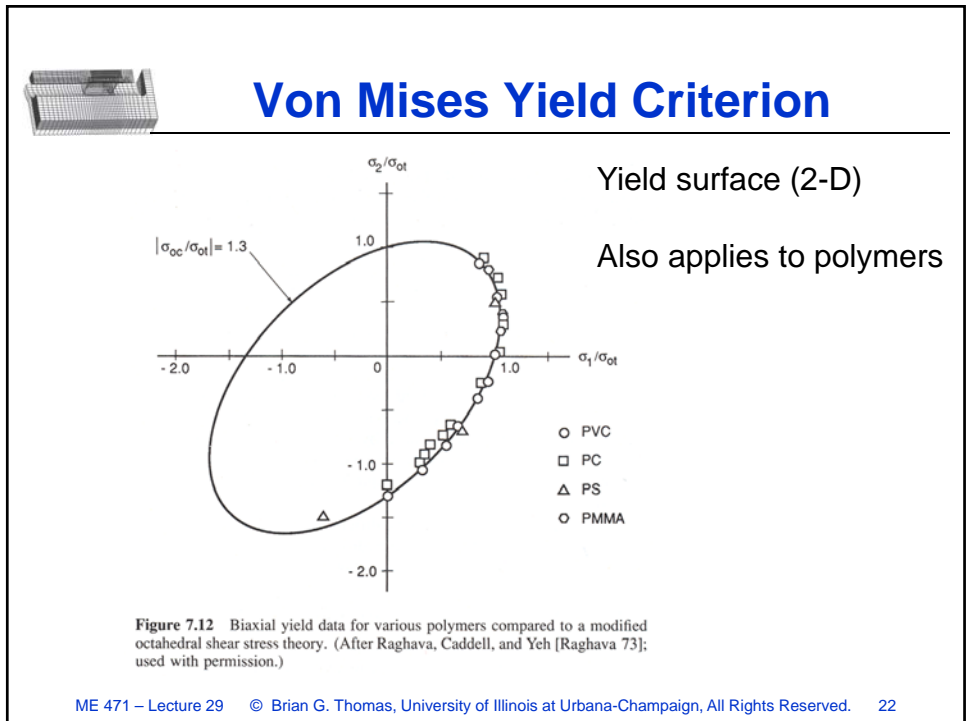
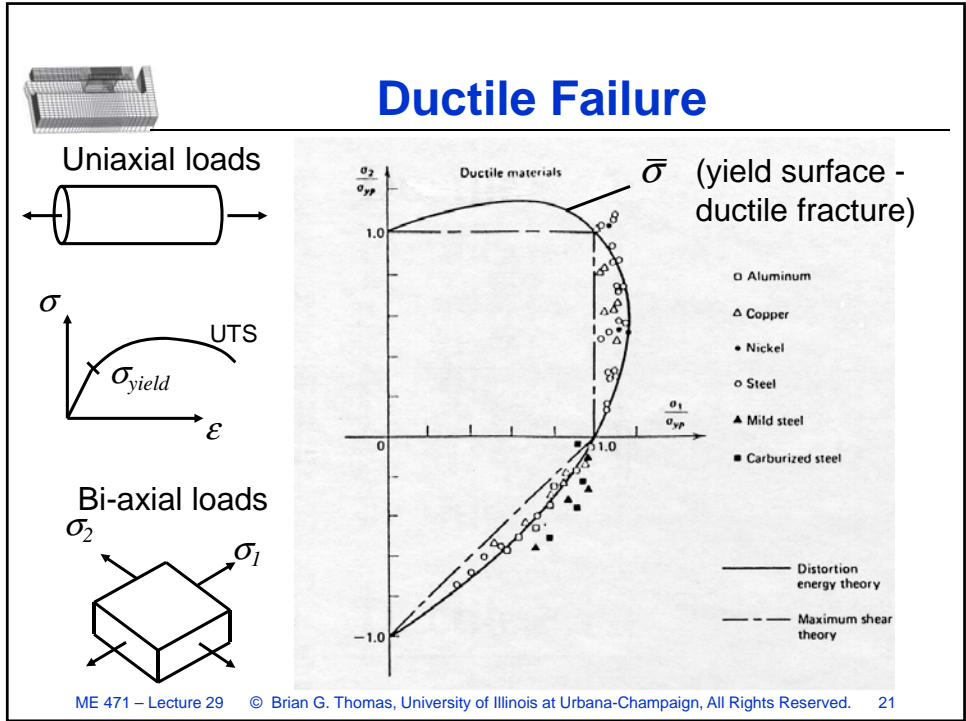


## Von Mises Effective Stress, $\bar{\sigma}$ (SEQV in ANSYS)

always > 0

$$\begin{aligned}\bar{\sigma} &= \frac{1}{\sqrt{2}} \sqrt{(\sigma_1 - \sigma_2)^2 + (\sigma_2 - \sigma_3)^2 + (\sigma_3 - \sigma_1)^2} \\ &= \frac{1}{\sqrt{2}} \sqrt{(\sigma_x - \sigma_y)^2 + (\sigma_y - \sigma_z)^2 + (\sigma_z - \sigma_x)^2 + 6(\tau_{xy}^2 + \tau_{yz}^2 + \tau_{zx}^2)} \\ &= \frac{1}{\sqrt{2}} \sqrt{S_1^2 + S_2^2 + S_3^2} \quad \text{where } S_1 = \frac{1}{3}(2\sigma_1 - \sigma_2 - \sigma_3)\end{aligned}$$

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## Yield surface (3D)

For analysis of complex, 3-D, stress states

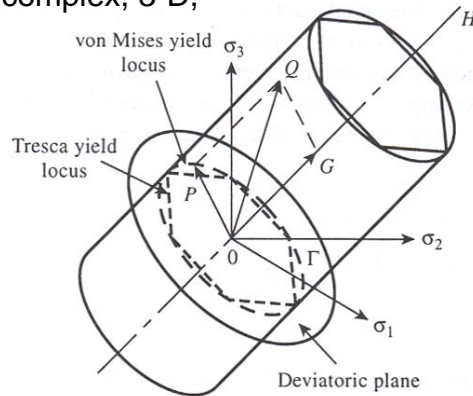
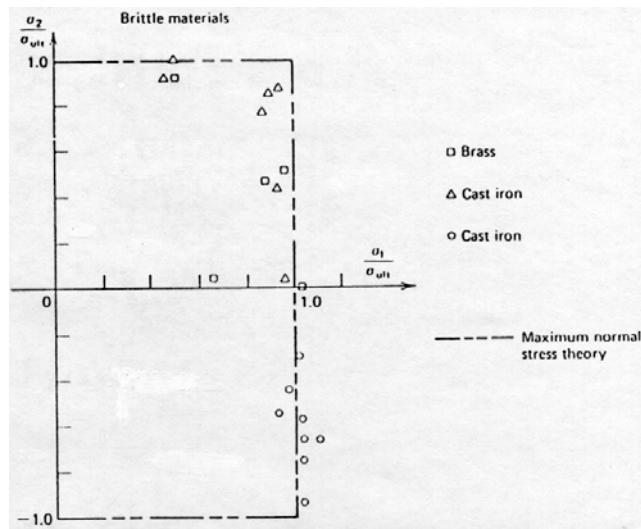


FIGURE 1.5. Geometrical representation of yield criteria in the principal stress space.

From, J. Chakrabarty, Applied Plasticity, Springer, 2000, pp.12-13.  
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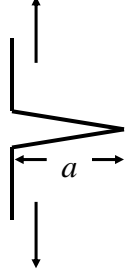
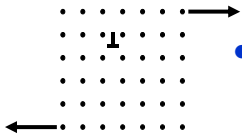


## Brittle Failure



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## Results Analysis

- Brittle failure:  $\sigma_1 > \sigma_{max-B}$ 

max principle tensile stress
- Ductile failure:  $\bar{\sigma} > \sigma_{max-D}$ 

Von Mises

$$\sigma_{max-B} > \frac{K_{Ic}}{Y\sqrt{\pi a}}$$

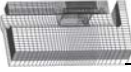
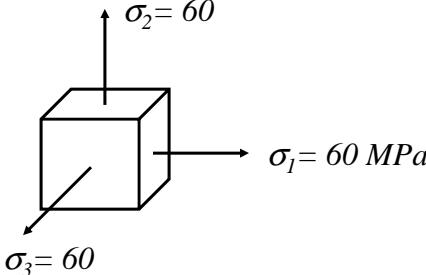
fracture toughness  
 flaw size  
 geometry factor

$$\sigma_{max-D} = \sigma_{Yield}$$

$\sigma_{yield} \Rightarrow$  yielding occurs  
 $\sigma_{UTS} \Rightarrow$  ductile fracture

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## Example: Tri-axial Stress Condition

$\sigma_{max-D} = 40 \text{ MPa}$   
 $\sigma_{max-B} = 55 \text{ MPa}$

$$\bar{\sigma} = \frac{1}{\sqrt{2}} \sqrt{(60-60)^2 + (60-60)^2 + (60-60)^2}$$

$= 0 \Rightarrow$  Ductile Failure Impossible  
 But BRITTLE failure is likely

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